



# Relationship Summary

March 31, 2025

## Chase Investment Counsel Corporation

*Registered with the Securities and Exchange Commission as an Investment Adviser*

Investment services providers fall into three categories each with different services and fees: investment advisers, brokers, and financial planners. Investment advisers offer advice about investing in securities and may provide ongoing oversight and management of your portfolio of securities. Brokers help you buy and sell securities and may provide recommendations about your investments. Financial planners look at your entire financial picture – including insurance, taxes, estate planning, as well as investments – and may develop a long-term, comprehensive financial plan. The SEC provides free and simple tools that allow you to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS).

Chase Investment Counsel is a family and employee-owned registered investment adviser that offers personalized wealth and investment management services as well as financial planning. Our clients include career professionals, those nearing or in retirement, and families experiencing financial transitions such as generational wealth transfer, widowhood, divorce, or sale of a business.

### What investment services and advice can you provide me?

Personal and family investment needs change as life evolves - from starting a career and raising a family, to the wealth building years and into and through retirement. Our team takes the time to get to know you, and for you to get to know us which is why it is important to us for you to have direct access to our investment managers and experienced staff members.

Our CFP® Professionals can assist you with financial planning and our active, disciplined investment management team is focused on selecting individual stocks and bonds targeted to your specific financial goals and risk tolerance and providing ongoing and regular oversight of your portfolio. We implement this approach through a separate account or, when more cost effective for you, through our mutual fund, the Chase Growth Fund, in place of a separate account or in lieu of individual stocks in a separate account.

In a typical client relationship, we manage accounts on a discretionary basis which means that we make the decisions regarding the holdings in your portfolio, execute those decisions when we believe appropriate, and regularly monitor those investments as part of our standard services. For some clients who prefer to be more active in the management of their portfolios, we offer a non-discretionary option which requires us to obtain client approval for each transaction prior to execution. Accounts are subject to a minimum annual fee described in the next section below.

For more information on our services, read our Form ADV Part 2A: [Advisory Business; Types of Clients](#)

Given my financial situation, should I choose an investment advisory service? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

### What fees will I pay?

Because Chase manages money in-house, without the use of outside managers, investors may pay lower fees, which can translate into greater tax efficiency and higher returns over time. Our separately managed account advisory fees are:

1.0% on the first \$1,000,000; 0.95% on the next \$2,000,000; 0.80% on the next \$2,000,000; 0.60% on the next \$5,000,000; 0.5% on the next \$40,000,000 and 0.40% on balances above \$50,000,000.

Accounts are subject to a \$10,000 minimum annual fee not to exceed 1.2% of Assets Under Management. Financial Planning fees may vary depending on the scope of the plan and relationship and will be quoted separately. Conflicts of interest associated with advisory fee billing based on a percentage of assets under management include valuation as well as the potential to recommend investments not suited to a client's best interest.

Our fees do not include any fees that might be charged by your custodian, executing brokers or governmental agencies which may include but are not limited to, transaction fees, exchange fees, SEC fees, custodial fees, wire transfer fees, and mutual fund administrative fees. Advisory fees are billed quarterly, in arrears based on the market value of account assets on the last day of the billing period.

*You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.*

For more information on our fees please read our Form ADV Part 2A: [Fees and Compensation](#)

**Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means.

In addition to investment advisory services, Chase Investment Counsel offers consulting services for alternative investments. Our fee for these services is a percent of a client's initial purchase in the investment. This creates a conflict of interest in that our total fee increases as a client's level of investment increases. There is potential that we might encourage participation at a higher level to receive more revenue.

For more information on our conflicts of interest, read our Form ADV Part 2A: [Code of Ethics](#)

**How might your conflicts of interest affect me, and how will you address them?**

**How do your financial professionals make money?**

Our financial professionals receive a fixed base salary and are entitled to participate in the company-sponsored retirement plan. The firm matches a portion of the employees' contributions to the plan. No portion of the fixed base salary of the portfolio managers is tied to the management or the performance of any client accounts. Several financial professionals are equity owners of the firm and as such do not receive a salary bonus. As the firm is a subchapter S corporation, all net earnings are distributed to the firm's equity owners.

**Do you or your financial professionals have legal or disciplinary history?**

No. You can visit [www.investor.gov/CRS](http://www.investor.gov/CRS) to research our firm and registered financial professionals.

**As a financial professional, do you have any disciplinary history? For what type of conduct?**

**How can I learn more about your firm?**

Read the accompanying brochure before you invest with us. It contains important information about our advisory services. Call 800-293-9104 for up-to-date information about us and to request a copy of our Relationship Summary. You can also visit us at [www.chaseinv.com](http://www.chaseinv.com).

**Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**